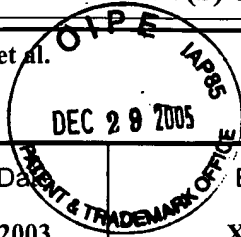


**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
128834-2

In Re Application Of: Borst et al.



Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/638,094	08/07/2003	Xu, Ling X.	23413	1775	3383

Title: **DATA STORAGE MEDIUM AND METHOD FOR THE PREPARATION THEREOF**

Address to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97(b) or 1.97(c))				Docket No. 128834-2	
In Re Application of: <b>Borst et al.</b>					
Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/638,094	08/07/2003	Xu, Ling X.	23413	1775	3383
Title: <b>DATA STORAGE MEDIUM AND METHOD FOR THE PREPARATION THEREOF</b>					

**Payment of Fee**  
 (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

☐ A check in the amount of \_\_\_\_\_ is attached.  
☒ The Director is hereby authorized to charge and credit Deposit Account No.    50-3619  
    as described below.
 

☐ Charge the amount of \_\_\_\_\_  
☐ Credit any overpayment.  
☒ Charge any additional fee required.

☐ Payment by credit card. Form PTO-2038 is attached.

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fa

12/29/2005  
 (Date)

**VIA EXPRESS MAIL**    *Signature EV6A6B27682 US*

Rebecca L. Blake  
 Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on

\_\_\_\_\_  
 (Date)

\_\_\_\_\_  
 Signature of Person Mailing Correspondence

\_\_\_\_\_  
 Typed or Printed Name of Person Mailing Certificate

**\*This certificate may only be used if paying by deposit account.**

**J. Michael Buchanan**  
 Registration No. 44,571  
 Customer No. 23413  
 Telephone No. 860-286-2929

Dated:    **December 29, 2005**

CC:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Borst et al. )  
Serial No.: 10/638,094 )  
Filing Date: 08/07/2005 )  
For: DATA STORAGE MEDIUM )  
AND METHOD FOR THE )  
PREPARATION THEREOF )

Group Art Unit: 1775  
Examiner: Xu, Ling X.



INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR §§ 1.56, 1.97 AND 1.98

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In compliance with the duty to disclose, submitted herewith is form PTO-A820 (PTO-1449) listing publication(s) of which those designated by 37 CFR § 1.56 are aware. Copies of the non-United States patents or published applications are enclosed.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made, or an admission that the information cited is, or is considered to be, material to patentability.

Respectfully submitted,

CANTOR COLBURN LLP

By: J. Michael Buchanan  
J. Michael Buchanan  
Registration No. 44,571

Date: December 29, 2005  
Customer No. 23413  
Telephone No. (860) 286-2929

<b>PTO-1449</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <b><u>LIST OF ITEMS</u></b>  (Use several sheets if necessary)				<b>Attorney's Docket Number</b> 128834-2		<b>Serial Number</b> 10/638,094	
				<b>Name of Applicant</b> Borst et al.			
				<b>Filing Date</b> 8/7/2003		<b>Group Art Unit</b> 1775	
<b>U.S. PATENT DOCUMENTS</b>							
<b>Examiner Initial</b>	<b>Document Number</b>	<b>Date</b>	<b>NAME</b>	<b>Class</b>	<b>Subclass</b>	<b>Filing Date If Appropriate</b>	
	4754128	6/28/1988	Takeda et al.				
	5512416	4/30/1996	Namba et al.				
	6183829	2/6/2001	Daecher et al.				
	6261694	7/17/2001	Iacovangelo				
	6397776	6/4/2002	Yang et al.				
	6596366	7/22/2003	Ohno				
<b>FOREIGN PATENT DOCUMENTS</b>							
	<b>Document Number</b>	<b>Date</b>	<b>COUNTRY</b>	<b>Class</b>	<b>Subclass</b>	<b>TRANSLATION YES NO</b>	
	EP 0 747 460 A1	12/11/1996	Europe			X	
	EP 0 745 872 A2	12/4/1996	Europe			X	
	JP63108540 A	5/13/1988	Japan			X	
	JP63201929 A	8/22/21988	Japan			X	
	JP01232553 A	9/18/1989	Japan			X	
	JP02312020 A	12/27/1990	Japan			X	
	JP03248337 A	11/6/1991	Japan			X	
	JP04177632 A	6/24/1992	Japan			X	
<b>EXAMINER</b>				<b>DATE CONSIDERED</b>			

**\* EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.

<b>PTO-1449</b>		<b>Attorney's Docket Number</b> 128834-2	<b>Serial Number</b> 10/638,094
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		<b>Name of Applicant</b> Borst et al.	
<b><u>LIST OF ITEMS</u></b>		<b>Filing Date</b> 8/7/2003	<b>Group Art Unit</b> 1775
(Use several sheets if necessary)			
	JP60203489; 1985-10-15; Abstract Only (1 page)		
	JP61286801; 1986-12-17; Abstract Only (1 page)		
	JP63108540A; 1988-05-13; Abstract Only (2 pgs)		
	JP63201929A; 1988-08-22; Abstract Only (2 pgs)		
	JP01232553A; 1989-09-18; Abstract Only (2 pgs)		
	JP02312020A; 1990-12-27; Abstract Only (2 pgs)		
	JP03248337A; 1991-11-06; Abstract Only (2 pgs)		
	JP04177632A; 1992-06-24; Abstract Only (1 pg)		
<b>EXAMINER</b>		<b>DATE CONSIDERED</b>	
<p>* <b>EXAMINER:</b> Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.</p>			